

# ***Colorectal Cancer Screening with Stool Tests***

## ***Assessing the Quality of Evidence for Efficacy***



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# *Objectives*

Identify the available stool based screening tests for colorectal cancer (CRC) in the U.S.

Present the evidence for these tests' ability to detect CRC and advanced polyps

Present the evidence for these tests' effectiveness in reducing incidence and mortality from CRC

Stimulate discussion on how best to prove efficacy of CRC screening tests for guideline makers

# *Lecture Outline*

The available tests

The levels of evidence

The levels of evidence for the available tests

The need for further study of the stool tests

The elephant in the U.S. screening room

Conclusions

# *The Available Stool Tests*

The Guaiac Fecal Occult Blood Test (GT)

- Standard
- High Sensitivity

The Fecal Immunochemical Test (FIT)

The Stool DNA Test (sDNA)

# *The Levels of Evidence*

## Level 1

- Evidence from one or more controlled trials

## Level 2

- Evidence from cohort or case–control studies

## Level 3

- Evidence from diagnostic accuracy studies or case series.

# ***Guaiac FOBT: Evidence for Efficacy***

## ***Evidence Level 1***

|                  | Mortality            |
|------------------|----------------------|
|                  | <u>Reduction (%)</u> |
| Minnesota Study  | 33                   |
| Funen Study      | 18                   |
| Nottingham Study | 14                   |

*Mandel JS, Bond JH, Church TR, et al. N Engl J Med 1993 May 13; 328(19):1365-71.*

*Kronborg O, Fenger C, Olsen J, et al. Lancet 1996 Nov 30; 348:1467-71.*

*Hardcastle JD, Chamberlain JO, Robinson MH, et al. Lancet 1996 Nov 30; 348:1472-7.*

*Mandel JS, Church TR, Bond JH, et al. N Engl J Med 2000; 343:1603-1607.*

# ***Guaiac Testing and the Digital Rectal Exam (DRE)***

- *DRE itself is not associated with a reduction in mortality in distal rectal cancer*
- *DRE with FOBT cannot be recommended as a colon cancer screening test.*
- *Guidelines do not endorse DRE alone or FOBT testing of a specimen obtained by this method.  
(The Multisociety GI Task Force, the American Cancer Society, and the National Comprehensive Cancer Network (NCCN) Colorectal Cancer Screening)*

*“If new screening tests are truly more accurate than Hemoccult II, their effectiveness need not be confirmed by randomized controlled trials because Hemoccult II’s ability to save lives from colorectal cancer has already been shown.”*

*Fletcher RH. Commentary.*

*ACP Journal Club 1996*

*May-June;124(3):74*

# ***The Fecal Immunochemical Test (FIT)***

Uses antibodies specific for human globin

Specific for colonic bleeding

Not affected by diet or medications

FDA approved

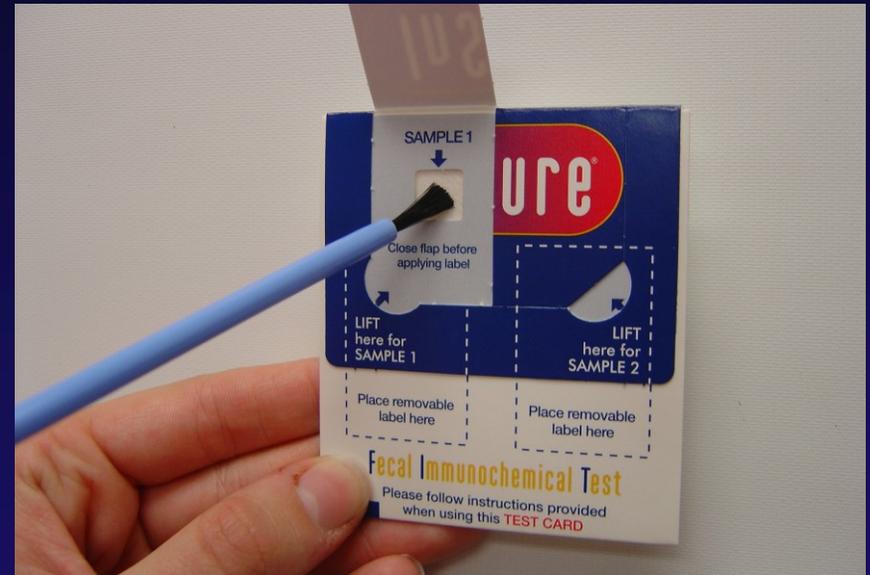
Authorized reimbursement by CMS for use in Medicare patients

Some allow for quantification of fecal hemoglobin

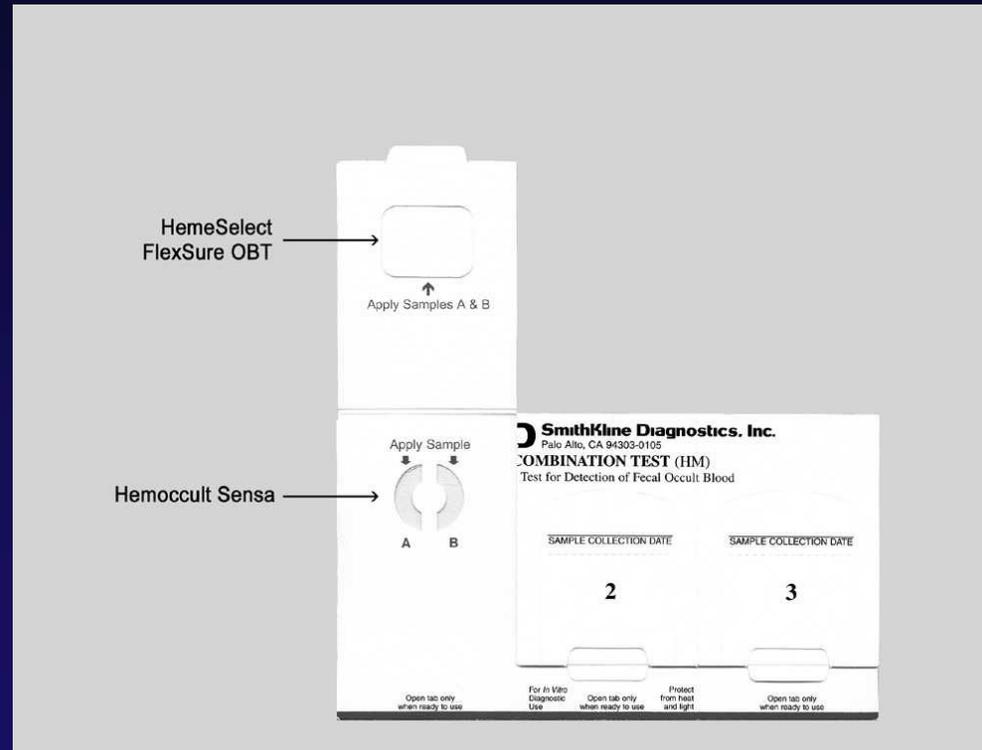
Can be read and developed by technicians or by automated readers and developers

# ***FIT: Sample Collection***

- Brush over surface of stool while immersed
- Lift the flap and dab card with specimen
- Close flap & seal with barcode
- Repeat with next stool
- Mail in reply-paid envelope to lab for development



# Comparison Test Card FOBT/FIT



# FIT Performance Characteristics

Table 2. Performance Characteristics of Fecal Occult-Blood Tests.

| TEST AND FINDING*         | SENSITIVITY                       | SPECIFICITY      | POSITIVE PREDICTIVE VALUE |
|---------------------------|-----------------------------------|------------------|---------------------------|
|                           | percent (95% confidence interval) |                  |                           |
| <b>Hemoccult II</b>       |                                   |                  |                           |
| Carcinoma                 | 37.1 (19.7–54.6)                  | 97.7 (97.3–98.0) | 6.6 (3.7–11.2)            |
| Polyp ≥1 cm               | 30.8 (21.6–40.1)                  | 98.1 (97.7–98.4) | 16.7 (11.9–22.8)          |
| Combined                  | 32.4 (24.3–40.4)                  | 98.1 (97.7–98.4) | 23.2 (17.7–29.9)          |
| <b>Hemoccult II Sensa</b> |                                   |                  |                           |
| Carcinoma                 | 79.4 (64.3–94.5)                  | 86.7 (85.9–87.4) | 2.5 (1.7–3.7)             |
| Polyp ≥1 cm               | 68.6 (59.2–77.9)                  | 87.5 (86.7–88.2) | 6.7 (5.3–8.4)             |
| Combined                  | 71.2 (63.3–79.1)                  | 87.5 (86.7–88.2) | 9.2 (7.6–11.2)            |
| <b>HemeSelect</b>         |                                   |                  |                           |
| Carcinoma                 | 68.8 (51.1–86.4)                  | 94.4 (93.8–94.9) | 5.0 (3.2–7.6)             |
| Polyp ≥1 cm               | 66.7 (57.0–76.3)                  | 95.2 (94.7–95.7) | 15.5 (12.3–19.3)          |
| Combined                  | 67.2 (58.8–75.5)                  | 95.2 (94.7–95.7) | 20.5 (16.8–24.6)          |
| <b>Combination</b>        |                                   |                  |                           |
| Carcinoma                 | 65.6 (47.6–83.6)                  | 97.3 (96.9–97.6) | 9.0 (5.8–13.6)            |
| Polyp ≥1 cm               | 50.0 (39.8–60.2)                  | 97.9 (97.6–98.2) | 21.9 (16.9–27.9)          |
| Combined                  | 53.7 (44.9–62.5)                  | 97.9 (97.6–98.2) | 30.9 (25.1–37.3)          |

\*The calculations for polyps did not include patients with carcinoma.

# FIT Performance Characteristics

**Table 3.** Fecal occult blood test (Hemoccult Sensa), fecal immunochemical test (FlexSure OBT), and combination test performance characteristics in a population at average risk for colorectal cancer\*

| Finding per test                              | No of persons screened | No of neoplasms detected | Sensitivity |                     | Specificity |                     | Positive predictive value |                     | Likelihood ratio (+) |                |
|---|------------------------|--------------------------|-------------|---------------------|-------------|---------------------|---------------------------|---------------------|----------------------|----------------|
|   |                        |                          | No./total   | % (95% CI)          | No./total   | % (95% CI)          | No./total                 | % (95% CI)          | Ratio                | (95% CI)       |
| <b>Distal cancer</b>                          |                        |                          |             |                     |             |                     |                           |                     |                      |                |
| Hemoccult Sensa                               | 5799                   | 14                       | 9/14        | 64.3 (35.6 to 86.0) | 5210/5785   | 90.1 (89.3 to 90.8) | 9/584                     | 1.5 (0.8 to 3.0)    | 6.5                  | (4.3 to 9.6)   |
| FlexSure OBT                                  | 5356                   | 11                       | 9/11        | 81.8 (47.8 to 96.8) | 5181/5345   | 96.9 (96.4 to 97.4) | 9/173                     | 5.2 (2.6 to 10.0)   | 26.7                 | (19.4 to 36.6) |
| Hemoccult Sensa + FlexSure OBT                | 5819                   | 14                       | 9/14        | 64.3 (35.6 to 86.0) | 5693/5805   | 98.1 (97.7 to 98.4) | 9/121                     | 7.4 (3.7 to 14.0)   | 33.3                 | (21.6 to 51.3) |
| <b>Distal adenomas <math>\geq 1</math> cm</b> |                        |                          |             |                     |             |                     |                           |                     |                      |                |
| Hemoccult Sensa                               | 5799                   | 126                      | 52/126      | 41.3 (32.7 to 50.4) | 5141/5673   | 90.6 (89.8 to 91.4) | 52/584                    | 8.9 (6.8 to 11.6)   | 4.4                  | (3.5 to 5.5)   |
| FlexSure OBT                                  | 5356                   | 112                      | 33/112      | 29.5 (21.4 to 38.9) | 5104/5244   | 97.3 (96.8 to 97.7) | 33/173                    | 19.1 (13.7 to 25.9) | 11.0                 | (7.9 to 15.3)  |
| Hemoccult Sensa + FlexSure OBT                | 5819                   | 127                      | 29/127      | 22.8 (16.1 to 31.3) | 5600/5692   | 98.4 (98.0 to 98.7) | 29/121                    | 24.0 (16.9 to 32.7) | 14.1                 | (9.7 to 20.6)  |
| <b>Distal advanced neoplasms</b>              |                        |                          |             |                     |             |                     |                           |                     |                      |                |
| Hemoccult Sensa                               | 5799                   | 137                      | 59/137      | 43.1 (34.7 to 51.8) | 5137/5662   | 90.7 (89.9 to 91.5) | 59/584                    | 10.1 (7.8 to 12.9)  | 4.6                  | (3.8 to 5.7)   |
| FlexSure OBT                                  | 5356                   | 121                      | 40/121      | 33.1 (24.9 to 42.3) | 5102/5235   | 97.5 (97.0 to 97.9) | 40/173                    | 23.1 (17.2 to 30.3) | 13.0                 | (9.6 to 17.6)  |
| Hemoccult Sensa + FlexSure OBT                | 5819                   | 138                      | 36/138      | 26.1 (19.2 to 34.4) | 5596/5681   | 98.5 (98.1 to 98.8) | 36/121                    | 29.8 (22.0 to 38.9) | 17.4                 | (12.3 to 24.8) |

\* Likelihood ratio (+) = sensitivity/(1 - specificity); CI = confidence interval.

# FIT Performance Characteristics

**Table 2. Results of Immunochemical FOBT and Colonoscopic Findings**

|  | No neoplasia  | Neoplasia               | Advanced neoplasia      |                         |                         | Invasive cancer         | Dukes' stage A   | Dukes' stage B   | Dukes stages C or D |
|--|---------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|------------------|------------------|---------------------|
|  |               |                         | Total                   | Adenoma ≥10 mm*         | High-grade dysplasia    |                         |                  |                  |                     |
| <b>Negative test (%)</b><br>(n = 20,574) | 16,698 (81.2) | 3876 (18.8)             | 530 (26)                | 423 (2.1)               | 80 (0.4)                | 27 (0.1)                | 17               | 3                | 5                   |
| <b>Positive test (%)</b><br>(n = 1231)   | 782 (63.5)    | 449 (36.5)              | 197 (16.0)              | 106 (8.6)               | 39 (3.2)                | 52 (4.2)                | 19               | 7                | 18                  |
| <b>Sensitivity (%)</b><br>(95% CI)       |               | 10.4 (9.5-11.3)         | 27.1 (23.9-30.3)        | <b>20.0</b> (16.6-23.4) | <b>32.7</b> (24.3-41.2) | <b>65.8</b> (55.4-76.3) | 52.8 (36.5-69.1) | 70.0 (41.6-98.4) | 78.3 (61.4-95.1)    |
| <b>Specificity (%)</b><br>(95% CI)       |               | <b>95.5</b> (95.2-95.8) | <b>95.1</b> (94.8-95.4) |                         |                         | 94.6 (94.3-94.9)        |                  |                  |                     |

CI, confidence Interval.

\*Except adenomas with high-grade dysplasia

# ***Summary - FIT Superior to GT***

## ***Evidence Level 3***

- Performance/Acceptance advantages:
  - Better sensitivity than standard GT
  - Better specificity than sensitive GT
  - Selective for colorectal bleeding
  - No need for diet or drug restrictions
- Processing advantages:
  - Quantifiable
  - Automatable
  - Computer generated distribution, reporting, reminders

***Mirror Mirror on the wall  
Which is the FIT - Test of them all?***

InSure

Hemocult ICT

Magstream 1000/Hem SP

immoCARE

MonoHaem

QuickVue iFOB



# ***FIT – Outstanding Issues***

- *Are quantitative FITs an advantage over qualitative FITS?*
- *At what level of Hemoglobin detection should FITs be set?*
- *Which sampling technique is most acceptable to patients*
- *How many stool specimens should be tested for optimal sensitivity and specificity?*
- *Are FITs best evaluated in the laboratory or the physician's office?*
- *Are FITs best interpreted by technicians or automated technology*

# *Stool-based DNA Assays*

## *What is it?*

- Relies on DNA markers exfoliated from the neoplastic colonic epithelial cells*
- PreGen-Plus™, is comprised of 23 molecular markers that are known to be associated with colorectal cancer.*
- Potential for screening for these different mutations using PCR amplification technologies*

# ***Fecal DNA Tests***

## ***The Thought Leaders Speak***

“Stool screening has historically relied on detection of occult blood, which has been proven to be an inherently insensitive and nonspecific marker for screen relevant neoplasia.”

# Performance Characteristics

## Multi target DNA stool tests

**Table 4.** Colorectal Neoplasia Detection by Multi target DNA Testing in Stool

| Reference   | Marker panel                           | Test sensitivity, % (n) |            | Test specificity, % (n) |
|---|--|-------------------------|------------|-------------------------|
|   |  | Cancer                  | Adenomas   |                         |
| <b>Pre-Gene-Plus</b>  |  |                         |            |                         |
| Ahlquist et al 2000 <sup>61</sup>                           | APC, <i>K-ras</i> , p53; MSI; Long DNA | 91 (20/22)              | 82 (9/11)  | 93 (26/28)              |
| Tagore et al 2000 <sup>92</sup>                             | APC, <i>K-ras</i> , p53, MSI; Long DNA | 63 (33/52)              | 57 (16/28) | 98.2 (111/113)          |
| Syngal et al 2002 <sup>93,105</sup> and 2003 <sup>104</sup> | APC, <i>K-ras</i> , p53; MSI; Long DNA | 62 (40/65)              | 27 (6/22)  |                         |
| Brand et al 2002 <sup>124</sup>                             | APC, <i>K-ras</i> , p53; MSI; Long DNA | 69 (11/16)              |            |                         |
| Calistri et al 2003 <sup>73</sup>                           | APC, <i>K-ras</i> , p53; MSI; Long DNA | 62 (33/53)              |            | 97 (37/38)              |
| <b>Other Panels</b>   |  |                         |            |                         |
| Dong et al 2001 <sup>109</sup>                              | p53, <i>K-ras</i> , MSI                | 71 (36/51)              |            |                         |
| Rengucci et al 2001 <sup>110</sup>                          | p53, <i>K-ras</i> ; MSI                | 67 (31/46)              |            | 100 (18/18)             |
| Koshiji et al 2002 <sup>114</sup>                           | LOH; MSI                               | 97 (29/30)              |            | 100 (30/30)             |

# Stool DNA Test: Performance Characteristics

| DNA Test                    | # tested/<br>evaluated | Sensitivity CA<br>(%)<br>(95% CI) | Specificity CA<br>(%)<br>(95% CI) | Sensitivity<br>Advanced<br>Adenoma<br>(95%CI) | Specificity<br>Advanced<br>Adenoma<br>(95%CI) |
|-----------------------------|------------------------|-----------------------------------|-----------------------------------|---|---|
| PreGenPlus™<br>( Prototype) | 61/61                  | 91<br>(71-99)                     | 93<br>(76-99)                     | 82<br>(48-98)                                 | 93<br>(76-99)                                 |
| PreGenPlus™<br>(V1)         | 4404/2507              | 51.6<br>(34.8-68.0)               |                                   | 15.1<br>(12.0-19.0)                           | 94.4<br>(93.1-95.5)                           |
| PreGenPlus™<br>(V1)         | 3764                   | 25                                |                                   | 20  |   |
| PreGenPlus™<br>(V2)         | 162                    | 87.5                              | 82                                |   |   |

Ahlquist DA, et al. *Gastroenterology* 2000; 119:1219-1227.

Imperiale TF, Ransohoff DF, Itzkowitz SH, et al *N Engl J Med*. 2004 Dec 23;351(26):2704-14..

Ahlquist DA, Sargent DJ, Levin TR, Rex DK, et al *Gastroenterology* 2005;128, No. 4, Supply 2 A63.

Itzkowitz SH, Jandorf L, Brand R, et al *Gastroenterology and Hepatology* 2007 5:111-117.

# ***Stool DNA Tests***

## ***The Evidence Speaks***

### **Stool DNA Test Versus FIT**

| <b>Stool DNA Test</b>    | <b>Sensitivity CRCA (%)</b> | <b>Sensitivity Polyp<math>\geq</math>1cm (%)</b> | <b>Specificity CRCA (%)</b> | <b>Specificity Polyp<math>\geq</math>1cm (%)</b> |
|--------------------------|-----------------------------|--|-----------------------------|--|
| <b>Pre Gen V1 (NEJM)</b> | 52                          | 15   |                             | 94   |
| <b>Pre Gen V1 (Mayo)</b> | 25                          | 20   |                             |  |
| <b>Magstream</b>         | 66                          | 20   | 95                          | 95   |
| <b>Hemoccult ICT</b>     | 82*                         | 30   | 97                          | 97   |

*\* Left sided neoplasms.*

# *sDNA Test Outstanding Issues*

- FDA approval
- Demonstration of cost effectiveness by AHRQ analysis
- Final configuration of the test to be marketed
- Inconsistency in performance of PreGen+ (V1) demonstrated in large multicenter studies
- Do updated versions of the test need to be tested in large average risk populations?
- Suggested intervals between tests

# *Conclusions*

- FITs overcome most of the disadvantages presented by GT
- Based on performance characteristics estimated in large populations of average risk patients, FIT should replace GT in screening for CRC
- More studies are necessary to determine which FIT is best

# *Conclusions*

- The stool DNA test is a promising technology but, based on evidence from screening studies in large average risk populations, it does not appear that in its present form it is an improvement over the less costly and more easily performed FIT

# *Conclusions*

- Evidence of stool test efficacy for mortality reduction or detection of advanced neoplasia does not have to come from randomized controlled trials if the newer tests can be shown to have superior performance characteristics when compared to the standard GT.
- Performance characteristics of stool tests are most accurately determined when a gold standard structural test is used to evaluate the test negative subjects

*“No test is perfect but  
any is better than none”*

*Allison JE Evidence Based Gastroenterology 2005;6:15-16*

# *Issues for Discussion*

- The elephant in the screening room
- Funding for studies of screening tests other than colonoscopy
- Guidelines free of professional and industry bias
- Screening networks – national, international
- Screening “Centers of Excellence”

# Optical Colonoscopy



# ***Cecal Stampede: The Headlong Rush for Screening Colonoscopy***



*Lawson MJ, Tobi M Dig Dis Sci 2008;53(4):871-4*



Why learn the art of medicine  
when you can learn the art of making money!

# Colonoscopies

Get the  
scoop  
on poop!

FOR  
DUMMIES

**A Medical  
Procedural  
Reference for  
the Rest of Us!**

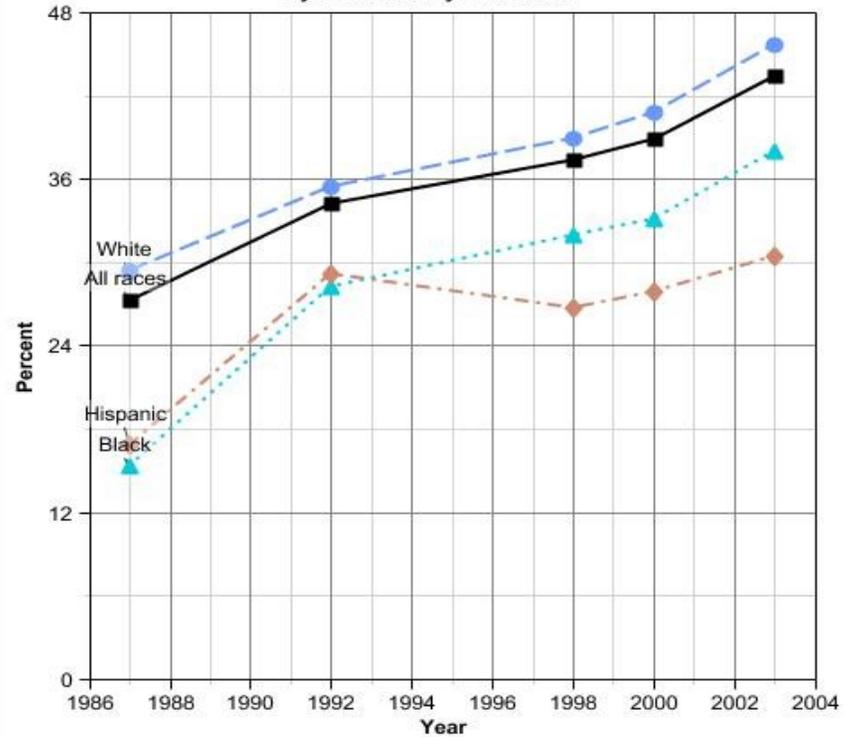
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Now you can shut  
the patient up with  
Versed®, push in the  
scope, and it's lights!  
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the insurance  
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# Screening Rate Endoscopy

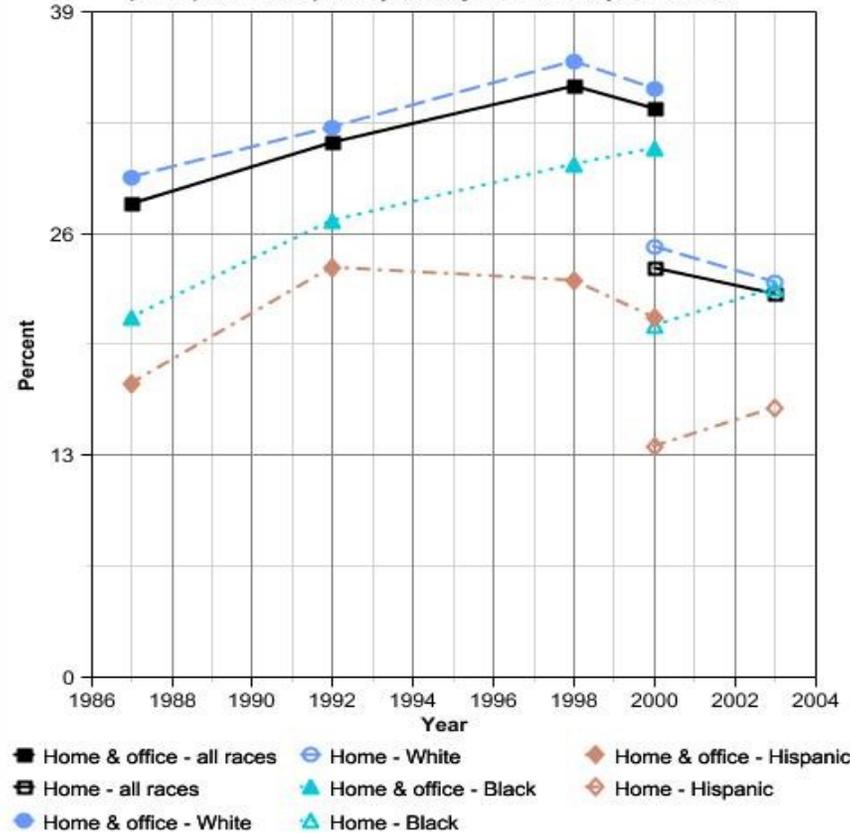
Figure S4. Percent of adults ages 50 and older who ever had a colorectal endoscopy, by race/ethnicity: 1987-2003



Source: Centers for Disease Control and Prevention, National Center for Health Statistics. National Health Interview Survey.  
Data are age-adjusted to the 2000 standard using age groups: 50-64, 65 and older. Analysis uses the 2000 Standard Population as defined by NCHS (<http://www.cdc.gov/nchs/data/statnt/statnt20.pdf>).

# Screening Rate for FOBT

Figure S3. Percent of adults ages 50 and older who had a Fecal Occult Blood Test (FOBT) within the past 2 years, by race/ethnicity: 1987-2003



Source: Centers for Disease Control and Prevention, National Center for Health Statistics, National Health Interview Survey. \

The National Health Interview Survey (NHIS) did not distinguish between Home and Office FOBTs until the 2000 survey. Starting with the 2003 NHIS survey, sampled adults were questioned only about Home FOBT usage. \

Data are age-adjusted to the 2000 standard using age groups: 50-64, 65 and older. Analysis uses the 2000 Standard Population as defined by NCHS (<http://www.cdc.gov/nchs/data/statnt/statnt20.pdf>).

# *ACS/USMSTF and ACR Guidelines Precautions Re Menu of Options*

If fecal tests are used the “opportunity for prevention is both limited and incidental and not the primary goal of CRC screening with these tests.”

“It is the strong opinion of this expert panel that colon cancer prevention should be the primary goal of CRC screening and that providers and patients should understand that noninvasive tests are less likely to prevent cancer compared with the invasive tests.”